

 Tecnociencia, Vol. 27, N°1: 8-25 Enero-Junio 2025 **1** Enero-Junio 2025
 1 ISSN L 2415-0940

An open Monte Carlo based implementation of Gauss's method for initial orbit determination

Una implementación abierta basada en Monte Carlo del método de Gauss para la determinación inicial de órbitas

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Fecha de recepción: 25 de abril de 2024 **Fecha de aceptación:** 9 de septiembre de 2024

DOI [HTTPS://DOI.ORG/10.48204/J.TECNO.V27N1.A6633](https://doi.org/10.48204/j.tecno.v27n1.a6633)

ABSTRACT

Hundreds or thousands of Near-Earth Asteroids (NEAs) are discovered every year, so being able to determine their orbits to follow them successfully in the future is essential to warn of the danger they could present. Numerous methods have been developed to improve the precision and efficiency of calculations used in the Initial Orbit Determination (IOD), with Gauss's method being the benchmark due to its intuitive formulation, comparable precision, and historical importance. Herein, we present the results of the development of a new open access tool to simplify the process of IOD of celestial bodies, specifically, NEAs. This tool was based on a modern implementation, using code written in Python to calculate, propagate, and graph the orbits. The results obtained from the test data exhibited significant accuracy, with the maximum discrepancy not exceeding 1.2% compared to the Horizons System tool, and the average being 0.5%. Furthermore, we found that for the Monte Carlo simulations that the code uses, 5,000 iterations were more than enough to achieve the obtained accuracy.

KEYWORDS

Asteroids, Astronomy, Celestial Mechanics, Gauss' Method, Orbit Determination.

RESUMEN

Cada año se descubren cientos o miles de Asteroides Cercanos a la Tierra (NEAs, acrónimo en inglés de Near-Earth Asteroids), por lo cual, ser capaz de determinar sus órbitas para seguirlos con éxito en el futuro es indispensable para advertir del peligro que estos podrían presentar. Numerosos métodos se han desarrollado para mejorar la precisión y eficiencia de los cálculos en la determinación inicial de la órbita (IOD, acrónimo en inglés de Initial Orbit Determination), siendo el método de Gauss la referencia debido a su formulación intuitiva, precisión comparable e importancia histórica. Aquí se presentan los resultados del desarrollo de una nueva herramienta de acceso abierto para simplificar el proceso del IOD de cuerpos celestes, específicamente, los NEAs. Dicha herramienta estuvo fundamentada en una implementación moderna, empleando un código escrito en el lenguaje de Python para calcular, propagar y graficar órbitas. Los resultados obtenidos para los datos de prueba exhibieron una precisión significante, con la discrepancia máxima no superando el 1.2 % en comparación con la herramienta Horizons System. Además, se encontró que para las simulaciones de Monte Carlo que el código emplea, 5 000 iteraciones fueron más que suficientes para alcanzar la precisión obtenida.

PALABRAS CLAVES

Asteroides, Astronomía, Mecánica Celeste, Método de Gauss, Determinación de la Órbita.

INTRODUCTION

Every year, hundreds or even thousands of *Near-Earth Asteroids* (NEAs) are discovered, both by professional astronomers and enthusiasts (Minor Planet Center 2023). Therefore, being able to determine their orbits in order to successfully track them in the future is crucial. Determining the orbits of celestial bodies is a problem that has occupied astronomers since ancient times. However, it was not until the beginning of the 19th century that a revolution in celestial mechanics took place, with the work of the German scientist Johann Carl Friedrich Gauss, in which he presented a new orbit determination method from which he obtained an estimate for the orbit of the newly discovered Ceres (Gauss 1809). From his method, numerous algorithms have been developed to improve the accuracy and efficiency of the calculations (Gibbs 1889; Herrick 1971), in addition to the creation of alternative methods (Escobal 1965; Gooding 1997) that are currently considered more favorable for many situations. Nevertheless, Gauss's method is still used as a benchmark for evaluating

other methods because of its intuitive formulation, comparable accuracy, and historical importance (Schwab 2022).

One of the main arguments for studies in the area is to improve monitoring systems. Detecting asteroids and calculating their orbits makes it possible to warn of the danger they could present, in other words, to know if they are *Potentially Hazardous Asteroids* (PHAs), classification given to those asteroids suspected of a collision trajectory with our planet in the next centuries (CNEOS Editors 2023) and those responsible for impact events with our planet. Two of these events, and the most significant, are those of Tunguska (1908) and Chelyabinsk (2013), in Russia. In the former, more than 2 000 km^2 of the Siberian taiga were obliterated and caused up to 3 reported deaths; while the latter resulted in damage to more than 7 000 buildings in 6 cities in the region, injuring thousands of people (Jay 2008; David 2013). This highlighted the need for improved NEA detection and monitoring systems. Since then, efforts to identify and track NEAs and PHAs have intensified, as well as the development of strategies such as the *Double Asteroid Redirection Test* (DART) mission by NASA, which successfully altered the orbit of the asteroid Dimorphos (Bardan 2022).

The main focus of this work consists in the presentation and analysis of a new open access tool developed to simplify the *Initial Orbit Determination* (IOD) of celestial bodies, with a specific focus on NEAs. This tool is based on a modern implementation of Gauss's method, using a code written in Python to calculate, propagate and plot orbits. Furthermore, by being available to the public (at the GitHub repository [github.com/joebro1907/NEIOD\)](file:///C:/Users/ecama/Desktop/CARPETAS/Tecnociencia/Tecnociencia%20V27-1/Gauss%20y%20orbitas-2024-04-25/github.com/joebro1907/NEIOD), it aims to encourage the participation of students, enthusiasts, and researchers in the celestial dynamics field, as well as computational physics.

MATERIALS AND METHODS

Gauss's Method

The method developed by Gauss is based entirely on the geometry of only three observations. His method provides an estimate of the three positions of the body from an eighth-order polynomial of the second position. Given the estimate of the three \vec{r} , a prediction of instantaneous \vec{v}_2 can be defined, thus completely defining the *state vectors*: (\vec{r}_2, \vec{v}_2) .

At any point in time, the heliocentric position \vec{r} will be given by (Figure 1):

$$
\vec{r} = \vec{q} + \rho \hat{\rho} \tag{1}
$$

where \vec{q} is the observer's heliocentric position, ρ the body's range (distance) to the observer, and $\hat{\rho}$ its unit vector. The vectors \vec{q} and $\hat{\rho}$ are defined by

$$
\vec{q} = \vec{q}_{\oplus} + \vec{p}_{obs} \tag{2}
$$

$$
\hat{\rho} = \cos(\delta) \cos(\alpha) \hat{i} + \cos(\delta) \sin(\alpha) \hat{j} + \sin(\delta) \hat{k}
$$
 (3)

where \vec{q}_{\oplus} is Earth's heliocentric position, \vec{p}_{obs} is the observer's geocentric position, and α and δ are the *right ascension* and *declination* (Curtis 2014).

Figure 1.

Geometry of the three observations [based on (Gronchi et al. 2021)].

One consequence of the two-body equation of motion is that, at any other time, the state vectors can be expressed in terms of the initial state vectors by means of Lagrange *f* and *g* coefficients. This means that it is possible to express \vec{r}_1 y \vec{r}_2 in terms of \vec{r}_2 y \vec{v}_2 :

$$
\vec{r}_1 = f_1 \vec{r}_2 + g_1 \vec{v}_2 \tag{4. a}
$$

$$
\vec{r}_3 = f_3 \vec{r}_2 + g_3 \vec{v}_2 \tag{4. b}
$$

This is due to the fact that the Lagrange coefficients and their time derivatives in these expressions are functions of time and initial conditions themselves, thus allowing us to

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express how the state vectors change along the orbit (Danby 1988). If the intervals τ_1 and τ_3 between the three observations are small enough, f and g depend only on \vec{r}_2 :

$$
f_1 \approx 1 - \frac{\mu}{2r_2^3} \tau_1^2
$$
 $f_3 \approx 1 - \frac{\mu}{2r_2^3} \tau_3^2$ (5. a)

$$
g_1 \approx \tau_1 - \frac{\mu}{6r_2^3} \tau_1^3
$$
 $g_3 \approx \tau_3 - \frac{\mu}{6r_2^3} \tau_3^3$ (5. b)

where $\tau_1 = t_2 - t_1$, $\tau_3 = t_3 - t_2$, and $\mu = 1.327 \times 10^{20} \text{ m}^3 \cdot \text{s}^{-2}$ (Sun's standard gravitational parameter, product of its mass and the universal gravitational constant).

The slant ranges ρ_1 , ρ_2 , and ρ_3 are given by

$$
\rho_1 = \frac{1}{D_0} \left[\frac{6r_2^3 \left(\frac{\tau_1}{\tau_3} D_{31} + \frac{\tau}{\tau_3} D_{21} \right) + \mu (\tau^2 - \tau_1^2) \frac{\tau_1}{\tau_3} D_{31}}{6r_2^3 + \mu (\tau^2 - \tau_3^2)} - D_{11} \right]
$$
(6. a)

$$
\rho_2 = A + \frac{\mu B}{r_2^3} \tag{6. b}
$$

$$
\rho_3 = \frac{1}{D_0} \left[\frac{6r_2^3 \left(\frac{\tau_3}{\tau_1} D_{13} + \frac{\tau}{\tau_1} D_{23} \right) + \mu (\tau^2 - \tau_3^2) \frac{\tau_3}{\tau_1} D_{13}}{6r_2^3 + \mu (\tau^2 - \tau_1^2)} - D_{33} \right]
$$
(6. c)

where A , B , D_0 , and D_{ij} are

$$
A = \frac{1}{D_0} \left(-\frac{\tau_3}{\tau} D_{12} + D_{22} - \frac{\tau_1}{\tau} D_{32} \right)
$$
 (7. a)

$$
B = \frac{1}{6D_0} \Big[(\tau_3^2 - \tau^2) \frac{\tau_3}{\tau} D_{12} + (\tau^2 - \tau_1^2) \frac{\tau_1}{\tau} D_{32} \Big] \tag{7. b}
$$

$$
D_0 = \hat{\rho}_1 \cdot (\hat{\rho}_2 \times \hat{\rho}_3) \tag{7. c}
$$

$$
D_{ij} = \vec{q}_i \cdot (\hat{\rho}_k \times \hat{\rho}_l) \tag{7. d}
$$

To calculate \vec{r}_2 , one can use the square of equation 1 with the new expression for ρ_2 :

$$
r_2^2 = \left(A + \frac{\mu B}{r_2^3}\right)^2 + 2E\left(A + \frac{\mu B}{r_2^3}\right) + q_2^2
$$

where $E = \vec{q}_2 \cdot \hat{p}_2$. Expanding and rearranging terms leads to the eighth-order polynomial $r_2^8 + k_2 r_2^6 + k_1 r_2^3 + k_0 = 0$, with its the coefficients being

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 $k_2 = -(A^2 + 2AE + q_2^2), \quad k_1 = -2\mu B(A + E) \quad y \quad k_0 = -\mu^2 B^2$ And the speed \vec{v}_2 is given by

$$
\vec{v}_2 = \left(\frac{f_1 \vec{r}_3 - f_3 \vec{r}_1}{g_3 f_1 - f_3 g_1}\right) \tag{8}
$$

It is important to keep in mind that there is a limit to the angular (thus temporal) separation between observations. Separations that are too small lead to numerical instability, especially when measurement noise can be dominant; conversely, too large of a separation renders approximations useless (Tennenbaum & Director 1997). This results in an upper limit around 60°, as indicated by (Escobal 1965) and (Long et al. 1989), and a lower limit of approximately 1°, according to (Vallado 2013), who states that the method works especially well when the separation is about 10°.

Classical Orbital Elements

Once the state vectors are calculated, the orbital elements can be obtained using equations which can be found in (Curtis 2014). The elements give the shape and orientation of the orbit in space (Figure 2). These elements are:

- Eccentricity, *e*: elongation of the orbit.
- Semi-major axis, *a*: half the length of the line joining the points of perihelion and aphelion (least and greatest distance from the Sun, respectively).
- Inclination, *i*: angle between the body's orbital plane and the ecliptic (Earth's orbital plane).
- Longitude of the Ascending Node, *Ω*: angle between the direction of the vernal equinox (point on the ecliptic at which the Sun passes from the southern celestial hemisphere to the northern) and the ascending node (intersection point of the orbital plane and the ecliptic, in the upward direction).
- Argument of Perihelion, *ω*: angle between the ascending node and the perihelion.
- True Anomaly, *ν*: angle between the perihelion and the body's current position.

In the case of parabolic and hyperbolic orbits, *a* is not defined (since these are open conic sections), so the Perihelion Distance, *q*, is used. However, both parabolic and hyperbolic NEAs are rare.

Figure 2.

The classical orbital elements and state vectors [based on (Barbee 2005)].

Monte Carlo Method

It is not reasonable to propagate errors in a traditional way when using extensive and iterative algorithms such as this one. Therefore, the Monte Carlo method is usually chosen to calculate uncertainties since it has been consistently considered a reliable approach and has had wide application in the validation of uncertainty propagators, whether linear or nonlinear in nature. (Ding et al. 2014; Luo & Yang 2017). In the *sampling* use case, the Monte Carlo method simply involves random sampling from a certain probability distribution (Kroese et al. 2014). The idea is to repeat the algorithm or procedure numerous times in order to obtain the quantities of interest using the Law of Large Numbers, a mathematical theorem that states that the average of the results obtained from a large enough number of independent random samples converges to the true value, if it exists (Yao & Gao 2015). This way, we can get the mean value and standard deviation of the simulations, and therefore the uncertainties.

Python Code

The code was written with the popular code development software *Sypder IDE* and is based on Python version 3.11. This code was made on the basis of Gauss's method algorithm developed by (Curtis 2014), which has been translated into the Python language and modified to accommodate our implementation. In addition, we made use of popular astronomy libraries (Table 1).

Table 1.

Python libraries used in the code, essential for various functions and routines.

The code was written to make its operation as intuitive as possible. Once executed, it runs on a terminal window. Firstly, the program asks for observational data: depending on the user, this can be collected either automatically by reading a text file formatted in the *Astrometry Data Exchange Standard* (ADES) format, or by entering it manually. This data includes: the α and δ values with their respective RMS errors for each of the three observations, the corresponding dates, the NEA identification number, if known, given by the *Minor Planet Center* (MPC, official body for observing and reporting on minor planets under the auspices of the International Astronomical Union) and the observatory code, also assigned by the Minor Planet Center, if it has one (otherwise the geographic coordinates must be entered). After entering all this data, the user will have specified the number *N* of *Monte Carlo* (MC) *simulations (a value between 5,000 and 10,000 is recommended)* to be done. Before any calculation, we made sure that the code applied *Light-Time Correction* (LTC) to the dates, due to the fact that in reality, the observed positions would correspond to when the light "left" the NEA, so there is a delay. It is calculated with ρ and the speed of light *c* (Gronchi 2013):

$$
\delta t = \frac{\rho}{c} \tag{9}
$$

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Once this correction is applied, Monte Carlo simulations are done by randomly generating *N* (α, δ) coordinates for each observation, drawn from the normal distributions defined by their uncertainties. The simulations then proceed by using these coordinates in the Gauss's method algorithm for calculating the corresponding state vectors, and iteratively refining them. The refined state vectors are then used to determine the classical orbital elements as well as the mean anomaly *M*, orbital period *T*, mean motion *n*, perihelion distance *q*, aphelion distance *Q*, and perihelion epoch *tp*. Both the state vectors and the orbital elements are transformed to the ecliptic reference plane with the equations by (Vallado 2013; Sharaf et al. 2014). After this, using the *SciPy* library, the average from each state vector in the MC simulations is calculated with its respective standard deviation, and the same is done for the orbital elements. Once the orbit is calculated, if the NEA is known, the code validates the results by comparing the orbital elements with the ones given by *Horizons System*, an online service by the Solar System Dynamics Group of NASA's JPL for calculating ephemeris (positions) and other high-precision data for bodies in our solar system (Giorgini & SSD Group 2022); otherwise, it queries the JPL Small-body Database (SBDB) for possible matches. In either case, a text file is then generated with the results, including observational data, observation arc (time between the first and last observation), state vectors, and orbital elements with their comparison. In addition, the angular separation θ (angular distance between the first and last observation) is included:

$$
\theta = \cos^{-1}[\sin(\delta_1)\sin(\delta_3) + \cos(\delta_1)\cos(\delta_3)\cos(\alpha_1 - \alpha_3)] \tag{10}
$$

As a next step, from the orbital elements, the code creates graphics as a visual representation of the NEA orbit, from different views. Finally, the user is given the option to generate ephemerides for the asteroid. To do this, the method of propagating \vec{r}_2 with the *f* and *g* coefficients is used (Lee et al. 2019). Throughout the entire run, the code lets the user know what it is doing. It is important to note that the code requires an internet connection at all times to access most tools and services. The recommended value for *N* was determined with modified versions of the code to calculate the computation time and the consistency of the results for values of *N* that would be frequently used in MC simulations: 1,000, 5,000, 10,000, 50,000 and 100,000, with 10 iterations each to determine their average. We used observational data (Table 2) obtained from the March 15, 2024 *Minor Planet Circulars Supplement* (Minor Planet Center 2024) with uncertainties of 4.0×10^{-6} and 4.0×10^{-5} for α and δ , respectively, since these were not provided, and we wanted to adhere to MPC's astrometry reporting standards (Project Pluto 2023).

Table 2.

RESULTS AND DISCUSSION

Computation Time

As expected, the code took longer when choosing larger *N* values (Table 3). In addition, it is seen that, with the exception of 1995 FO, the computation times were similar for each NEA at the respective *N* values (Figure 3).

Table 3. *Calculation time [s] per N value for each NEA.*

Performing an analysis of the code we found that this discrepancy between the computation times for 1995 FO is due to the iterative improvement routine in Gauss's method. It turns out that 1995 FO required 5 to 7 times more iterations to achieve state vectors convergence: 270 versus 34, 54, 43 and 37, respectively. This is because the (fixed) tolerance is set to 1.0×10^{-10} to ensure accuracy, therefore, lowering it would reduce the required iterations and computational time, in spite of accuracy, of course.

Figure 3.

Calculation time for each N. The discrepancy in 1995 FO times (in red) can be seen.

Comparing the times shows that 10,000 iterations of the MC simulation took about one minute to complete, so we consider them to be convenient values. Clearly, if time were the only priority, 1,000 would be the best choice. Additionally, computation times depend on the processing power of the user's computer, logically; therefore, the code was run on a personal desktop computer of modest specifications (Inter Core i7-11700F CPU and 16 GB of RAM), to simulate an average modern user.

Dispersion and Discrepancy of Calculation

Comparing the computational discrepancies for each *N* (Table 4) we appreciate that the discrepancies of the results with the *Horizons System* reference values did not vary significantly, so increasing *N* does not necessarily lead to more accurate results; in fact, it only increases the computational demand and, therefore, the computation time. This is what is known as *diminishing returns*, when after a certain threshold, increasing *N* will not result in noticeable improvements in accuracy (Figure 4).

Table 4.

Discrepancy of classical orbital elements with those of the Horizons System for each NEA varying N. The values correspond to the average of 10 iterations.

NEA	N	Δe	Δa [AU]	Δi [°]	ΔΩ [°]	Δω [°]	Δv [°]
1995 FO	1 000	0.00032	0.00079	0.01035	0.00478	0.01116	0.00810
	5 000	0.00031	0.00077	0.01014	0.00469	0.01095	0.00796
	10 000	0.00032	0.00077	0.01016	0.00470	0.01097	0.00797
	50 000	0.00032	0.00077	0.01020	0.00472	0.01102	0.00800
	100 000	0.00032	0.00077	0.01019	0.00471	0.01101	0.00800
2024 ED4	1 000	0.00074	0.00320	0.00156	0.07789	0.15942	0.08199
	5 0 0 0	0.00075	0.00323	0.00153	0.07809	0.15970	0.08207
	10 000	0.00075	0.00323	0.00153	0.07813	0.15978	0.08211
	50 000	0.00075	0.00323	0.00154	0.07810	0.15974	0.08210
	100 000	0.00075	0.00323	0.00153	0.07814	0.15979	0.08211
85095 Hekla	1 000	0.00010	0.00011	0.00450	0.00005	0.00395	0.00456
	5 0 0 0	0.00010	0.00011	0.00457	0.00005	0.00420	0.00481
	10 000	0.00010	0.00011	0.00458	0.00005	0.00424	0.00486
	50 000	0.00010	0.00011	0.00457	0.00005	0.00419	0.00481
	100 000	0.00010	0.00011	0.00457	0.00005	0.00419	0.00481
200974	1 000	0.00176	0.00223	0.00875	0.02862	0.79071	0.81049
	5 0 0 0	0.00165	0.00210	0.00824	0.02700	0.74989	0.76853

Figure 4.

Variation of 1995 FO Orbital Elements

Therefore, the most important thing in this regard is to choose a value of *N* that results in the least dispersion, i.e., the greatest consistency in the results. We determined then that if the only priority were consistency, 100,000 would be the best choice.

Determination of N

A value for *N* should be chosen with these two considerations (low variability and short calculation time). To determine such value, we used a weighted sum model the following way: a weight of 7.5 was given to accuracy, given as the 1-to-5 scoring of the average discrepancy across the elements for each *N* (smaller discrepancy, higher score); and a weight of 2.5 was given to the computing time, given as the 1-to-5 scoring of the average time for each *N* (smaller time, higher score). This means a maximum score of $(7.5)(5) + (2.5)(5) = 50$ points. All of this for each NEA, with their score sum determining the final ranking (Table 5).

Table 5.

Weighted scoring of N. The points are the sum of the score for each NEA.

We thus determine that the value of N that presents a good balance between the variation of results and the calculation time is 5,000.

CONCLUSIONS

Our code developed in Python allows us to successfully compute the initial orbit of NEAs from three observations of right ascension α and declination δ , using Monte Carlo simulations to obtain the osculating ecliptic orbital elements. The results are sufficiently accurate compared to the reference values by the Horizons System, so the discrepancies are more than acceptable for this type of study. Finally, the developed code has the flexibility and scalability in mind to adapt to different data sets (and therefore, types of orbits) in addition to possible expansions, one of the main reasons for using the Python language since the scope of this work is the creation of an open access tool for the scientific community that facilitates and simplifies the process of studying these bodies.

ACKNOWLEDGEMENTS

This work is part of the research project *"Estudio de la Forma y Cálculo de las Velocidades Axiales de Asteroides Cercanos a la Tierra" (Study of the Shape and Calculation of Axial Velocity of Near-Earth Asteroids)*, with identification CUFI-2021-P-CNET-003, which was funded by the 2021 *Convocatoria Universitaria a Fondos de Investigación (University Call for Research Funds)* of the Vice Rectorship for Research and Postgraduate Studies of the University of Panama.

This includes data from the Horizons System tool of the Solar System Dynamics Group, belonging to NASA's Jet Propulsion Laboratory.

This work includes data from the MPC's database, which is made freely available to the public. Funding for this data and the MPC's operations comes from a NASA PDCO grant (80NSSC22M0024), administered via a University of Maryland - SAO subaward (106075- Z6415201). The MPC's computing equipment is funded in part by the above award, and in part by funding from the Tamkin Foundation.

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